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Practitioner's Docket No. 02165PCT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Masakazu KOBAYASHI;
Nippon Shokubai Co., Ltd.

Serial No.: 10/525,848

Filed: February 22, 2005

For: Compound Semiconductor Particles and Production Process
Therefor

Examiner:

Group No.:

Confirmation No.:

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

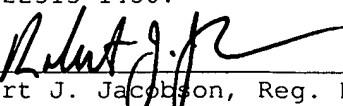
Information Disclosure Statement

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed reference(s) is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

{18924.DOC}

I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date May 21, 2005 in an envelope as "Express Mail Post Office to Addressee" Mailing Number ED-668-679-358-US addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Robert J. Jacobson, Reg. No. 32,419

37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.

37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.

37 CFR 1.98(c) When the disclosures of two or more patents or publications listed in an information disclosure statement are substantively cumulative, a copy of one of the patents or publication may be submitted without copies of the other patents or publications, provided that it is stated that these other patents or publications are cumulative. The following references are being submitted under this provision where each of the references within the following groups of references is believed to be cumulative of the other reference in said group:

- 1) JP-A-104684/1989
- 1) US 5,032,316

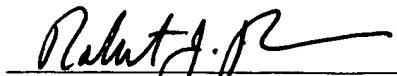
- 2) JP-A-41389/1990
- 2) US 5,006,277

37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The following references are being submitted under this provision with an English abstract:

- 5) JP-A-265166/2000
- 6) JP-B2-2514423/1996
- 7) JP-B2-2946763/1999
- 8) JP-A-64278/2003
- 9) JP-A-229635/1991
- 10) JP-A-314781/1992

Respectfully submitted,

Date: May 21, 2005



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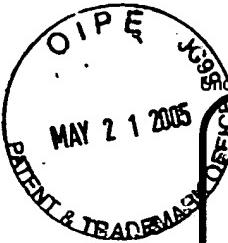
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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MAY 21 2005

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/525,848
				Filing Date	February 22, 2005
				First Named Inventor	Masakazu KOBAYASHI
				Art Unit	
				Examiner Name	
Sheet	one	of	two	Attorney Docket Number	02165PCT

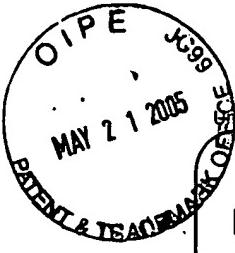
U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

two of two

Complete if Known

Application Number	10/525,848
Filing Date	February 22, 2005
First Named Inventor	Masakazu KOBAYASHI
Art Unit	
Examiner Name	
Attorney Docket Number	02165PCT

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

1 Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

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